

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Elliot Altman

Group Art Unit: 1642

Serial No.: 09 701,947

Examiner: Unknown

Confirmation No.: Unknown

Docket No.: 235,0001 0101

Filed: December 5, 2000

Int'l Filed: October 12, 1999

Title: STABILIZED BIOACTIVE PEPTIDES AND METHODS OF IDENTIFICATION,  
SYNTHESIS AND USE

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**  
☒ An itemized return postcard.  
☐ A certified copy of a    application, Serial No.   , filed   , the right of priority of which is claimed under 35 U.S.C. §119.  
☒ Other: Communication Requesting Entry of Computer Readable Form (CRF) of Sequence Listing (2 pgs) and one Disk (CRF).  
☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$40 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$135 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUEITING, RAASCH &amp; GEBHARDT, P.A.

Customer Number: 26813

By: 

Name: Victoria A. Sandberg

Reg. No.: 41,287

Direct Dial: 612-305-1226

Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: E1776904637USDate of Deposit: June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: 

Name: Gara L. Ladwig

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s):	Elliot Altman	)	Group Art Unit: 1642
		)	
Serial No.:	09 701,947	)	Examiner: Unknown
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Filed:	December 5, 2000	)	
		)	
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		)	
For:	STABILIZED BIOACTIVE PEPTIDES AND METHODS OF IDENTIFICATION, SYNTHESIS AND USE		

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**COMMUNICATION REQUESTING ENTRY  
OF COMPUTER READABLE FORM (CRF) OF THE SEQUENCE LISTING**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

This is in response to the Notification of Missing Requirements dated April  
Communication dated April 26, 2001.

In accordance with 37 C.F.R. §1.821, a computer readable form (CRF) of the Sequence  
Listing for the above-identified application is submitted herewith. A copy of the Notification of  
Missing Requirements mailed April 26, 2001, is attached.

In accordance with 37 C.F.R. §1.821, it is respectfully submitted that the information  
recorded in computer readable form (CRF) is identical to the written sequence listing filed as  
part of the instant application.

**Communication Requesting Entry of Computer Readable Form  
(CRF) Of The Sequence Listing**

Page 2 of 2

Serial No.: 09 701,947

Filed: December 5, 2000

Int'l Filed: October 12, 1999

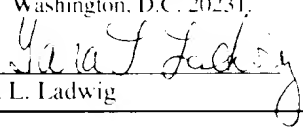
Title: STABILIZED BIOACTIVE PEPTIDES AND METHODS OF IDENTIFICATION, SYNTHESIS AND USE

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number if prosecution of this application may be assisted thereby.

CERTIFICATE UNDER 37 C.F.R. §  
1.10:

"Express Mail" number: EL776904637US  
Date of Deposit: June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PC1, Washington, D.C. 20231.

  
Gara L. Ladwig

Respectfully submitted,

Elliot Altman

By his Representatives,  
Mueting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
Telephone (612)305-1220  
Facsimile (612)305-1228  
**Customer Number 26813**

June 22, 2001

Date

By: 

Victoria A. Sandberg  
Reg. No. 41,287  
Direct Dial (612) 305-1226

105

2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Trademark  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/701947	ALTMAN	E
		235 0001 010
		INTERNATIONAL APPLICATION NO.
		PCT/US99/23731
		IA FILING DATE
		12 OCT 99
		PRIORITY DATE
		13 OCT 98

VICTORIA A SANDBERG  
P O BOX 581415  
MINNEAPOLIS, MN 55458

MISS PTO 6-26-01  
10-26-01

DATE MAILED

26 APR 2001

# **NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
  - ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
  - ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application.
  - ☒ Oath or Declaration of inventors(s).
  - ☐ Copy of Article 19 amendments.
  - ☐ Priority Document.
  - ☒ Indication of Small Entity Status.
  - ☐ Translation of the international application into English.
  - ☐ Translation of Article 19 amendments into English.
  - ☒ Other: 331, ISA/210-references, INFO, DISCLOSURE STATEMENT, ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☒ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
  - ☐ U.S. Basic National Fee.
  - ☐ Copy of the international application.
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
- ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 or 30 months from the priority date (37 CFR 1.495(d)).

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

- Enclosed ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☒ PCT/DO/EO/920

FORM PCT/DO/EO 915 (March 2001)

Christine S. Washington  
Telephone: 703-305-3752

RECEIVED

MAY 29 2001

MUETING AND RAASCH